



FACTS

WHAT DOES HOYLECOHEN, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial services organizations have the ability to choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand how we do that.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Your name, home or business address, phone number, driver’s license number and social security number
- Your tax information including filing status and the number of dependents you claim
- Your employment information including annual income and other financial information
- Your net worth, liquid assets, investment history, objective and risk tolerance
- Whether you or members of your family are affiliated with the financial or banking industries

When you are *no longer our client*, we will continue to share your information when required as described in this notice.

How?

All financial services organizations need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial services organizations can share their customers’ personal information, the reasons HoyleCohen, LLC chooses to share, and whether you can limit this sharing.

| Reasons we can share your personal information | Does HoyleCohen share? | Can you limit this sharing? |
|---|------------------------|-----------------------------|
| For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes | No |
| For our marketing purposes— to offer our products and services to you | Yes | No |
| For joint marketing with other financial companies | No | We Don’t Share |
| For our affiliates’ everyday business purposes— information about your transactions and experiences | No | We Don’t Share |
| For our affiliates’ everyday business purposes— information about your creditworthiness | No | We Don’t Share |
| For nonaffiliates to market to you | No | We Don’t Share |

Questions?

Call our office at (858) 576-7300 or email our compliance team at compliance@hoylecohen.com



| Who we are | |
|---|---|
| Who is providing this notice? | HoyleCohen, LLC |
| What we do | |
| How does HoyleCohen, LLC protect my personal information? | To protect your personal information from unauthorized use, we use security measures that are in compliance with federal law. These measures include computer safeguards, specifically computer firewalls to protect against threats or hazards to the security and/or integrity of customer records, as well as secured files and buildings. |
| How does HoyleCohen, LLC collect my personal information? | We collect your personal information, for example when: You enter into an investment advisory agreement with us We open an investment account for you We move money for you from one account to another Through our discussions with you We also collect your information from other companies. |
| Why can't I limit all sharing? | Federal law gives you the right to limit only: Sharing for affiliates' everyday business purposes – information about your creditworthiness Affiliates using your information to market to you Sharing for non-affiliates to market to you State laws may give you additional rights to limit sharing. |
| Definitions | |
| Affiliates | Companies related by common ownership or control. They can be financial and non-financial organizations. HoyleCohen, LLC does not share non-public personal information with its affiliates. |
| Nonaffiliates | Companies not related by common ownership or control. They can be financial and non-financial organizations. Nonaffiliates that we share with can include companies that aggregate data for reporting purposes. |
| Joint marketing | A formal agreement between nonaffiliated financial organizations that together market financial products or services to you. HoyleCohen, LLC does not jointly market. |
| Other important information | |
| We are an affiliate of Focus Financial Partners, LLC and we and other affiliates of Focus share certain of your personal information with an unaffiliated third party for the purpose of aggregating it and providing summary information based on this data to our parent company Focus. | |